Today’s Presentation

▶ Request: Update on the RSA-911 Edit Checker, data collection and other information helpful for Directors

▶ Topics
  - Open Source RSA-911 Edit Checker Tool
  - RSA-911 Data Collection Considerations
  - Period of Performance
  - Aware Update
Presentation Links

www.Rsa911editchecker.com
www.getAwarelive.com
www.AllianceEnterprises.com
Open Source RSA-911 Edit Checker Tool

Alliance Enterprises Update
CSAVR Management Services Committee
November 13, 2017
Update on the Open Source RSA-911 Edit Checker Project

- Background
- Website Overview
- Frequently Asked Questions
- Statistics
- How to Get Involved
Background

We are a group of individuals and organizations who are developing an RSA-911 Edit Checker tool.

Our group includes vocational rehabilitation (VR) industry experts across the country, including VR state agencies and partners. This is an open source project and we welcome contributions to it.

- Open Source project
- Everyone encouraged to participate
- No cost
- Announced Jul 26, 17
- Delivered Oct 26, 17
- Current with RSA’s latest specifications
RSA-911 Edit Checker

Open-source program for VR state agencies

Based on industry demand, a group of VR experts have developed a tool that will help state vocational rehabilitation (VR) agencies check their quarterly reports for Federal compliance. The tool incorporates the current rules and data validation items, described in the Rehabilitation Services Administration (RSA) November 2017 document titled RSA-911 Edit Specification V5. The RSA-911 Edit Checker also includes optional sets of rules developed by collaborators and VR state agencies. As an open-source program, state agencies may continue to contribute to the RSA-911 Edit Checker project and customize the program to their benefit.

Latest Version: 1.0.1.0

Download Now
Options for Collaboration

- Information available on the website
- Or Join
  - Be Informed
  - Use the Tool
  - Contribute

11/12/2017 allianceenterprises.com
Example FAQs

▶ What rules and data validation items does the tool include?
▶ Who decides what rules will be added?
▶ Will it be possible to contribute to the code/scripts, or customize it to fit our state agency needs?
▶ How will the code be supported?
▶ How secure is the RSA-911 Edit Checker with respect to the data?
National Involvement

- 1,647 page views
- 117 downloads
- 26 agencies participating (including non-Aware)
- RSA parallel testing with submission portal
RSA-911 Data Collection Considerations

Alliance Enterprises Update
CSAVR Management Services Committee
November 13, 2017
Highlight RSA-911 data collection considerations that impact all agencies

- Refinements of edits
- Catchup for new data elements
- “Backdating”
- Wage data
- Education updates
Edits will Continue to be Refined

- Assumption moving forward
- Be prepared with pre-defined process
- Check for notices
- Analyze impact and changes from business perspective
- Translate to system changes, if any
Multiple Reporting Periods with Short Turn-Around

*Includes post-exit data

**First RSA-911 Quarterly Report**
7/1/17 to 9/30/17

- **Second RSA-911 Quarterly Report**
  10/1/17 to 12/31/17

- **Final RSA-911 PD2014**
  9 months of FFY16
  10/1/16 to 6/30/17

- Submission of final Annual Report
- Submission ~ 45 days later

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Data Catchup Should be Complete

This week’s submission should include collection of new data elements.

First RSA-911 Quarterly Report
7/1/17 to 9/30/17

Submission ~ 45 days later
Not Enough Runway for “Backdating”

- Quarterly reporting requires faster turn-around
- Updates after the quarter closes impacts verification
- Updates after the report is submitted (pending)
- Alliance recommends reducing data entry window for RSA-911 data elements
Wage Data Needed for Next Submission

Do you have a plan in place for post-exit data?

Second RSA-911 Quarterly Report
10/1/17 to 12/31/17

Submission ~ 45 days later
Updates Required

▸ Education information
  ◦ New enrollment
  ◦ Consider data exchange

▸ Wages After Closure
Period of Performance

Alliance Enterprises Update
CSAVR Management Services Committee
November 13, 2017
Karen Dunn, Strategic Account Manager

Share insights for system updates for better alignment

- RSA Communication
- Key Takeaway
- Resources Available
- Next Steps
RSA Communication

Agenda

› Period of Performance
  – What are the requirements?
  – How can you identify if this is an issue for your agency?
  – How can RSA and Aware help?

› Internal Controls: Financial and Performance Reporting
  – What are internal controls?
  – Why the emphasis on internal controls?
  – How do agencies ensure proper internal controls?

› Future of RSA-2 (Annual VR Program Cost Report)

► CSAVR Spring Conference Management Services Committee
► Direct with DSU
► getAwareLive 2017
► Alliance follow up teleconference discussions
Date of Written Obligation

- Need to determine what date reflects “written obligation”
- Monitoring visits will compare date of written obligation and grant year

34 CFR 76.707(c) (EDGAR)

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<td>(c) Personal services by a contractor who is not an employee of the State.</td>
<td>On the date on which the State makes a binding written commitment to obtain the services.</td>
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Example: Service authorizations are considered obligated on the date the service was authorized by the agency.
Alliance Report

- Compiled David Steele’s PowerPoint Presentation
- Summarized highlights and Alliance’s understanding of impacts
- Available through CSAVR
Alliance Next Steps

▶ Continue work with VR partners
  ✽ User Group
  ✽ Strategic Account communication

▶ Changes in next Software release
  ✽ Additional rules
  ✽ Refine adaptation options

▶ Best Practice Documentation
  ✽ Business Systems Analyst Report
  ✽ System Administration Guide
Aware Update

Alliance Enterprises Update
CSAVR Management Services Committee
November 13, 2017
Lisa Gifford, Director of Strategic Accounts

Share “Hot Topics” within the *Aware* Community
- Data Integrity
- Documentation of Pre-ETS
- Data Exchange
- Business Relations and Job Openings
- Staff Turnover
Accurate, Complete and Timely Data (A.C.T.)

How to ensure data integrity?

▶ Business unit drives the system rules
▶ Limit who “overrides” data rules
▶ Use analytics to evaluate data trends
▶ QA teams verify data integrity including key dates and wage data

Aware QA Tool
Recent addition to product offering
Portals Provide **Secure** External Access

- Secure customized website for authorized external users
  - Requires agency register and authenticate users
  - Agency limits access to information
  - VR point of contact accepts data before adding to case record

- Example business uses
  - Community: Self-referral
  - Participants: Update personal information, write progress notes, schedule appointment
  - Schools: Record delivery of Pre-ETS
  - Vendors: Lookup authorizations, submit invoices and deliver reports
Leverage Case Management for Job Openings

- Agencies track employer and jobs information “off line”
  - Documents on Intranet or email
  - External systems
  - State and public job websites

- Increased interest in providing single point of contact for counselors
Significant Changes in DSA/DSU Staffing

- Centralization and turnover
  - System Administrators (IT and CMS Support)
  - Finance Office staff
- Loss of historical knowledge
- Unintended consequences
Aware Community

- **Aware Enterprise**
  - 40 agencies using *Aware*
  - 6 agencies in discussions

- **Aware Express**
  - 24 American Indian Vocational Rehabilitation Services (AIVRS) Programs
  - Alliance expanding platform for schools, vendors and other WIOA Core Partners

11/12/2017

allianceenterprises.com
getAwareLive Conference

- Outstanding keynote and breakout speakers
- Two full days of pre-conference training
- Thomas Harkin Americans with Disabilities (THAD) award award to Russ Cusack
- Knowledge sharing, collaboration and inspiration
What’s Next?

▶ Target information for directors and executive team
▶ Management Services Committee update
▶ Working lunch to drill down with three important topics